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Jc950 U.S. PTO

03-18-02

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Attorney Docket No. 2950.50US02

APPLICATION TRANSMITTAL

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

j1002 U.S. PTO
10/099597
03/15/02

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of
INVENTOR(S): Craig R. Horne, Peirre J. De Mascarel, Christian C. Honeker, Benjamin Chaloner-Gill,
Herman A. Lopez, Xiangxin Bi, Ronald J. Mosso, William E. McGovern, James T. Gardner, Sujeet
Kumar, James A. Gilliam, Vince Phan, Eric Euvrard, Shivkumar Chiruvolu, and Jesse Jur.

FOR: OPTICAL MATERIALS AND OPTICAL DEVICES

Enclosed are:

- ☒ [X] Specification and Abstract - 120 pages.
- ☒ [X] Drawings - 22 sheets (Figs. 1-36).
- ☐ [] Combined Declaration and Power of Attorney.
- ☐ [] Information Disclosure Statement.
- ☐ [] Assignment papers.
- ☐ [] CD-ROM or CD-R in duplicate, and Compact Disc Transmittal.
- ☐ [] Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (nonpublication).
- ☐ [] _____.

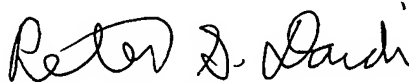
The filing fee has been calculated as shown below:					
	No. Filed	No. Extra	Small Entity Rate	OR	Large Entity Rate
Basic Fee			\$370	OR	\$740
Total Claims	- 20	=	x 9 = \$	OR	x 18 = \$
Independent Claims	- 3	=	x 42 = \$	OR	x 84 = \$
Presence of Multiple Dependent Claim			+ 140 = \$	OR	+ 280
		TOTAL	\$	TOTAL	\$
**If the difference is less than zero, enter "0"					

☒ [X] Applicant(s) is/are entitled to small entity status in accordance with 37 CFR 1.27.

10099597-03-1502

- [] A check in the amount of \$00 to cover the filing fee and/or an Assignment recordation fee is attached. The Commissioner is hereby authorized to grant any extensions of time and to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required during the entire pendency of this application to Deposit Account No. 16-0631.
- [X] This application claims the benefit of U.S. Provisional Application No. 60/313,588, filed August 17, 2001.

Respectfully submitted,

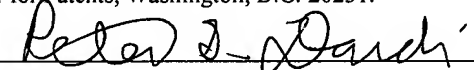


Peter S. Dardi, Ph.D.
Registration No. 39,650

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV 011654065 US. Date of Deposit: March 15, 2002. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Peter S. Dardi
Name of Person Making Deposit


Signature